

Jim Doyle
Governor

**WISCONSIN DEPARTMENT OF
REGULATION & LICENSING**



1400 E Washington Ave
PO Box 8935
Madison WI 53708-8935
Email: web@drl.state.wi.us
Voice: 608-266-2112
FAX: 608-267-0644
TTY: 608-267-2416

ACCOUNTING EXAMINING BOARD SCREENING PANEL

Room 179A, 1400 East Washington Avenue, Madison WI

Contact: David O'Connell (608-261-4490)

Friday, October 17, 2003

8:30 A.M.

1. Call Open Session to Order
2. Convene to Closed Session to consider discipline (s. 19.85(1)(b), Stats., and to consider individual histories or disciplinary data (s. 19.85(1)(f), Stats.).
 - a. Screen complaints received from the public against credential holders. The Panel consists of 2 Board members and Department staff.
3. Reconvene to Open Session
4. Vote on Items Considered or Deliberated Upon In Closed Session
5. Adjournment

ACCOUNTING EXAMINING BOARD MEETING

ROOM 179A, 1400 EAST WASHINGTON AVENUE, MADISON WI

FRIDAY, OCTOBER 17, 2003

9:00 A.M.

1. CALL TO ORDER
 - a. Declaration of Quorum
2. Adoption of Agenda
3. Approval of Minutes
 - a. Board Meeting (August 15, 2003)
4. Administrative Report, Jerry Lowrie, Bureau Director, Bureau of Business and Design Professions
 - a. Board Roster
 - b. 2003 Meeting Dates
 - c. 2004 Meeting Dates
 - d. Summary Reports on Pending Court Cases, Disciplinary Cases and Administrative Rules and Monthly Press Release of Disciplinary Orders (red folder)
 - e. Travel – Department Policy
5. NASBA
 - a. National Association of State Boards of Accountancy Annual Meeting, Oct. 26-29, 2003, Maui, Hawaii – Update on Request for Grant Funds – Jerry Lowrie
 - b. Letter of Recommendation & Guidelines to State Boards of Accounting
 - c. Report on the 2003 CPA Review Board Letter and Response
6. Administrative Rules
 - a. Status of Clearing House Rule 03-071
 - b. Status of Clearing House Rule 02-120
7. Examination/Application Issues
 - a. Zachary Carr's Application Review-Kelly Niesen
 - b. Transition to Computer Based Testing – Barb Showers
 - c. AICPA'S Evaluation of the IQEX Examination-Darwin Tichenor
 - d. Sarbanes-Oxly Act Inquiry-How has WI Responded? Daniel Schopp, Daemon College
 - e. Wisconsin Being Added to the State List that have Adopted Section 23- Frank Probst
 - f. Uniform CPA Honor Roll-FYI
8. Practice Issues
 - a. Restructuring Plan of Kolb & Co. Recommendations -William Dusso
9. Board Member Activity
10. Public Comments
11. Presentation of Proposed Stipulations by Prosecutor or any that may be Submitted After Printing of Agenda
12. CONVENE TO CLOSED SESSION to deliberate on cases following hearing (s. 19.85(1)(a), Stats.; consider closing disciplinary investigation with administrative warning (s. 19.85(1)(b), Stats. and 440.205, Stats., to consider individual histories or disciplinary data (s. 19.85 (1)(f), Stats.; and, to confer with legal counsel (s. 19.85(1)(g), Stats.).
 - a. Monitoring Report
 - b. Case Closings and Case Status Report
 - c. Class 1 Hearing
 - d. Deliberation on Stipulations, Administrative Warnings and/or Disciplinary Actions that May be Signed after Printing of Agenda
 - e. Pending Applications
 - i. Zachary Carr

- f. Other Applications Received After Printing of Agenda.
- g. Reinstatement Requests
- h. Examination Issues
- 13. RECONVENE TO OPEN SESSION
- 14. Vote on Items Considered or Deliberated Upon in Closed Session, If Voting is Appropriate
- 15. Such Other Matters as Defined by Law
- 16. ADJOURNMENT

MEETINGS AND HEARINGS ARE OPEN TO THE PUBLIC UNLESS OTHERWISE NOTED. IF A BOARD ADJOURNS TO CLOSED SESSION, RECONVENES IN OPEN SESSION TO VOTE ON ITEMS CONSIDERED IN CLOSED SESSION, AND DURING VOTING DETERMINES THAT ADDITIONAL DELIBERATIONS ARE NECESSARY FOR VOTING, THE BOARD MAY ADJOURN TO CLOSED SESSION TO CONTINUE DELIBERATIONS AND THEN RECONVENE INTO OPEN SESSION FOR VOTING.

Meetings and hearings may be cancelled without notice. Times listed for meeting items are approximate and depend on the length of discussion and voting. The board may also consider in closed session, stipulations filed after the printing of this notice. Meetings may be confirmed by a telephone call to the listed contact person. Examinations are open only to authorized candidates. Times listed for the commencement of disciplinary hearings may be changed by the examiner for the convenience of the parties. All meetings are held at 1400 East Washington Avenue, Madison, Wisconsin, unless otherwise noted. For a complete copy of the board's agenda, contact the board listed above. Interpreters for the hearing impaired provided on request. Contact the Affirmative Action Officer, (608) 266-2112.